

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Helmut BREHM, et al.

SERIAL NO: 10/069,721

GAU:

FILED: February 28, 2002

EXAMINER:

FOR: POLYMERIZATE COMPOSITION AND A METHOD FOR PRODUCING THE SAME

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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22850

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U.S. PCT Application Serial No. 10/069,721  
Docket No: 218936US0PCT

#### STATEMENT OF RELEVANCY

1) References \_\_\_\_\_ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) References AO-AT have been cited in the corresponding German Search Report. A copy of these references is being submitted herewith.

3) References AU-AW are discussed in the specification. A copy of these references is being submitted herewith.

4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

## Deutsches Patent- und Markenamt

80297 München

## Anlage 2

zur Mitteilung der ermittelten Druckschriften

Aktenzeichen

199 41 072.0

Erläuterungen zu den ermittelten Druckschriften:		
1	2	3
Kate- gorie	Ermittelte Druckschriften/Erläuterungen	Betrifft Anspruch
A	DE ✓197 48 153 A1 <i>ISR</i>	1,2
Y	DE ✓38 53 101 T2	
A	DE ✓38 25 366 C2	
A	DE ✓37 06 852 C2	
A	DE ✓35 44 770 C2	
A	DE ✓35 06 534 C2	
A	DE ✓32 46 905 A1	
A	US ✓46 54 378 A	

**Translation of Category of Cited Documents in attached foreign language Search Report:**

- X: particularly relevant if taken alone**
- Y: particularly relevant if combined with another document of the same category**
- A: technological background**
- O: non-written disclosure**
- P: intermediate document**
- T: theory of principle underlying the invention**
- E: earlier patent document, but published on, or after the filing date**
- D: document cited in the application**
- L: document cited for other reasons**

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**&: member of the same patent family, corresponding document**

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
218936US0PCTSERIAL NO.  
10/069,721

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Helmut BREHM, et al.FILING DATE  
February 28, 2002

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4 654 378	03/31/87	Wood E. HUNTER et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	38 53 101	08/31/95	DE		No
	AP	38 25 366	04/01/99	DE		No
	AQ	37 06 852	05/23/96	DE		No
	AR	35 44 770	06/16/88	DE		No
	AS	35 06 534	06/06/91	DE		No
	AT	32 46 905	06/20/84	DE		No
	AU	95/33697	12/14/95	WO		No
	AV	0 296 331	02/22/95	EP		No
	AW	0 228 638	02/15/95	EP		No

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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MAY 28 2002  
TC 1100